



LABORATÓRIO NACIONAL
DE ENGENHARIA CIVIL

EXPANSIVE REACTIONS IN CONCRETE DAMS IN PORTUGAL

the Old and the New ones

Carlos Pina

Principal Research Officer

National Laboratory for Civil Engineering (Portugal)

Board of Directors, President

Chambéry, Symposium 2017 du Comité Français des Barrages et Reservoirs



LABORATÓRIO NACIONAL
DE ENGENHARIA CIVIL

EXPANSIVE REACTIONS IN CONCRETE DAMS IN PORTUGAL

the Old and the New ones

Carlos Pina

Principal Research Officer

National Laboratory for Civil Engineering (Portugal)

Board of Directors, President

[LNEC1080p_Full HD 1080p_70anos_Ingles.mp4](#)

Chambéry, Symposium 2017 du Comité Français des Barrages et Reservoirs

Internal expansive reactions

reactions de gonflement interne

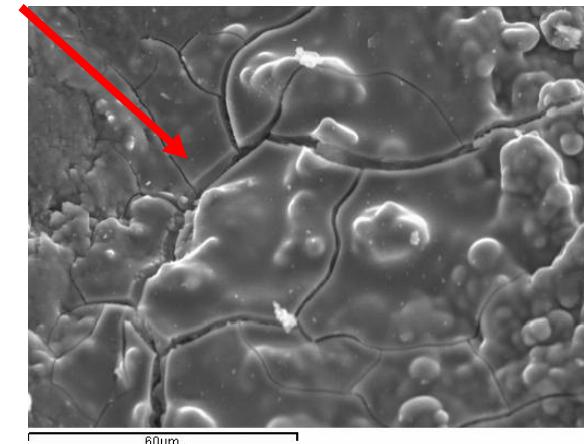
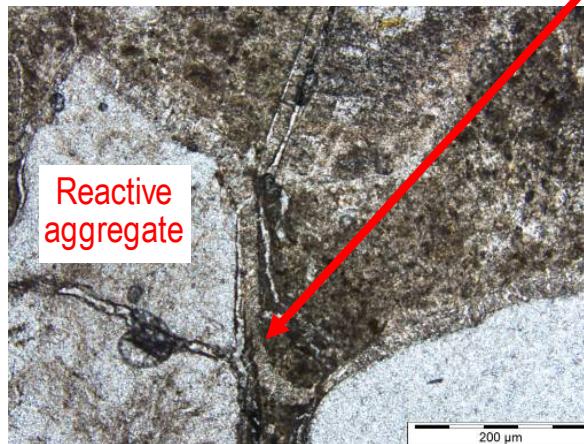
- AAR (Alkali-Aggregate Reaction)/ASR (Alkali-Silica Reaction)
RAG (Réaction Alcali-Granulat)/RAS (Réaction Alcali-Silice)
 - Identified in USA in 1940 (in Portugal in the 90s)

- DEF(Delayed Ettringite Formation)/ISR(Internal Sulfate Reaction)
RSI (Réaction Sulfatique Interne)
 - Identified in Germany in the 90s (in Portugal in 99)

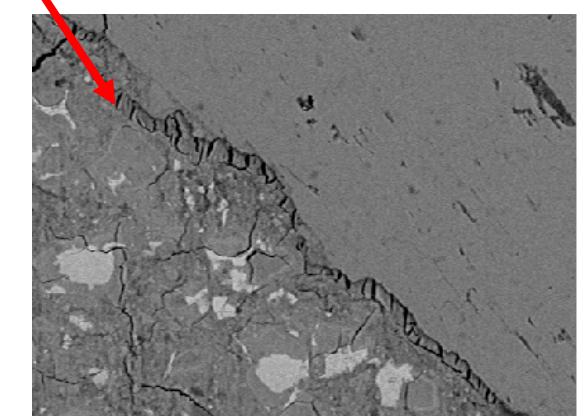
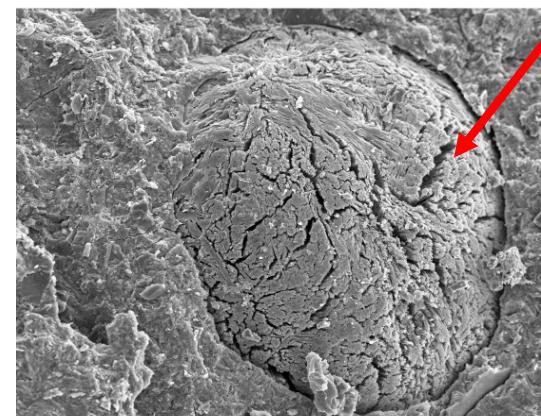




Effects MACRO <<<<<>>> MICRO



Alkali-Aggregate Reaction (AAR)



Delayed Ettringite Formation (DEF)/Internal Sulfate Reaction (ISR)

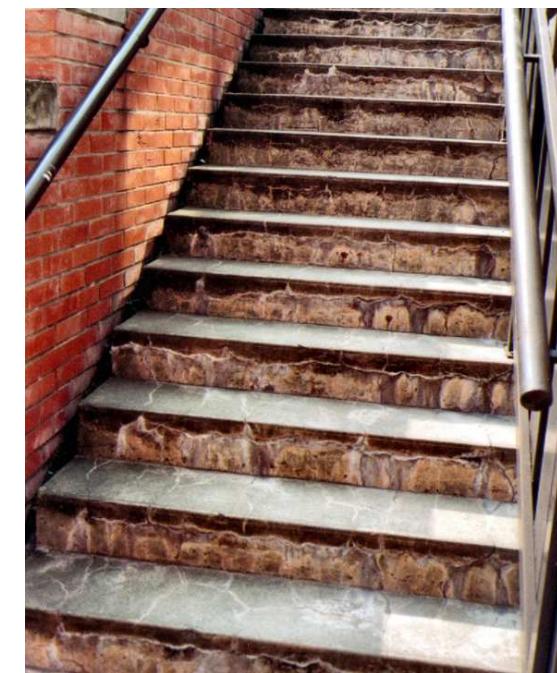
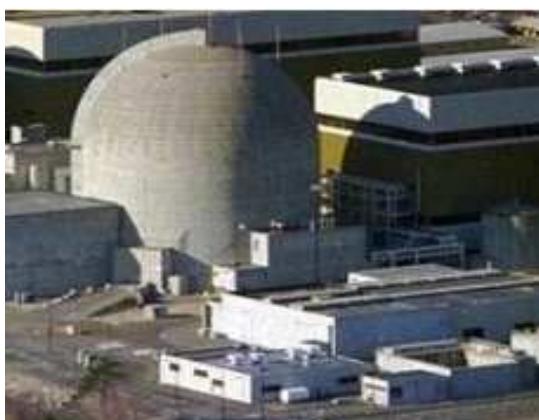


LABORATÓRIO NACIONAL
DE ENGENHARIA CIVIL

Comité Français des Barrages et Reservoirs – Symposium 2017

LNEC | 4

Dams, Bridges, Buildings, Pavements, Industrial Plants, ...



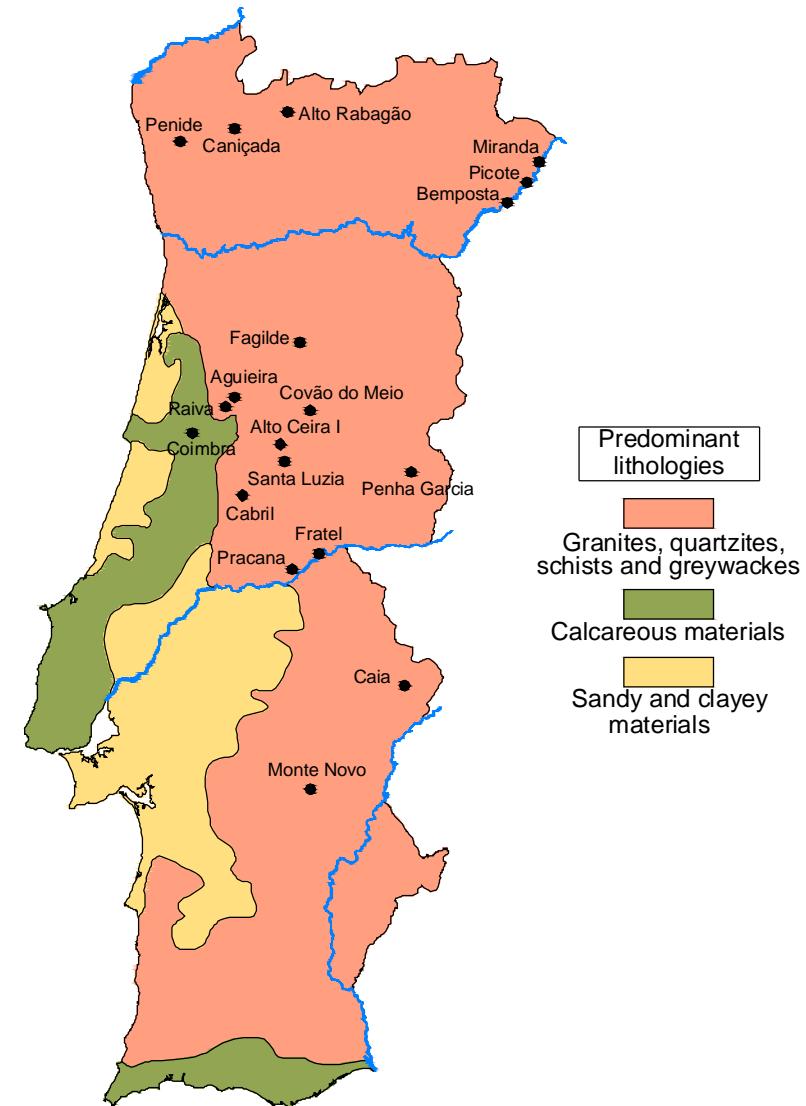
Portuguese AAR affected dams (19/52)

DAM	STRUCTURAL TYPE	YEAR OF COMPLETION	HEIGHT (m)	TYPE OF REACTION	AGGREGATES		MAXIMUM ACCUMULATED VERTICAL STRAIN UNTIL 2015 ($\times 10^{-6}$)	AVERAGE ANNUAL RATE IN THE LAST 5 YEARS ($\times 10^{-6}$)
					COARSE	FINE		
Santa Luzia	Arch	1942	76	ASR	Quartzite	Quartz	2200	20
Alto Ceira (old)	Arch	1949	36	ASR	Quartzite	Quartz	4600	120
Penide	Gravity	1949	18	ASR (?)	Granite	Quartz	150	10
Pracana	Buttress	1951	60	ISR - ASR	Quartzite/granite	Quartz	2000	10
Covão do Meio	Arch	1953	28	ASR	Granite	?	500	25
Cabril	Arch	1954	132	ISR – ASR	Granite	?	100	5
Caniçada	Arch	1955	76	ASR	Granite	?	100	5
Picote	Arch	1958	100	ASR	Granite	Granite	50	< 5
Miranda	Buttress	1961	80	ASR	Granite	Granite	150	5
Alto Rabagão	Arch	1964	94	ASR	Granite	Granite	100	5
Bemposta	Arch-gravity	1964	87	ASR	Granite	?	200	10
Caia	Buttress	1967	52	ISR – ASR	Granite	Quartz	?	5
Fratel	Gate	1973	43	ISR – ASR	Granite	Quartz	200	10
Penha Garcia	Gravity	1980	25	ASR (?)	Quartzite/granite	Quartz	100	< 5
Aguieira	Multiple arch	1981	89	ASR	Granite	Quartz	?	?
Raiva	Gravity	1981	36	ASR (?)	Granite	Quartz	120	5
Coimbra	Gate	1981	40	ASR (?)	?	Quartz	?	?
Monte Novo	Gravity	1982	30	ASR	Granite	?	100	10
Fagilde	Gravity	1984	27	ISR	Limestone	Quartz	2200	120



Portuguese AAR affected dams

- ☐ Location of the AAR affected dams (19 dams, more than 30% of the total number of monitored large concrete dams)



- ☐ Predominant lithologies of the Portuguese mainland

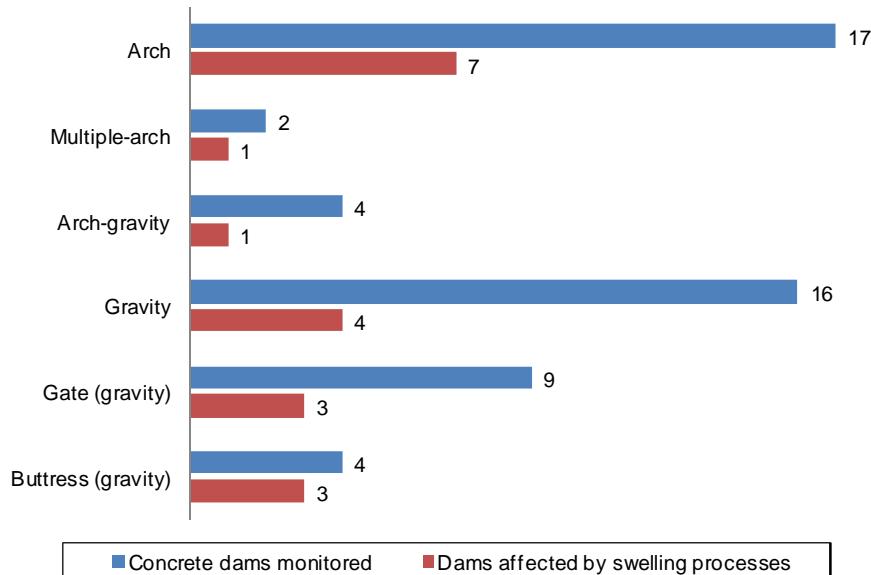
Portuguese AAR affected dams (19/52)

DAM	STRUCTURAL TYPE	YEAR OF COMPLETION	HEIGHT (m)	TYPE OF REACTION	AGGREGATES		MAXIMUM ACCUMULATED VERTICAL STRAIN UNTIL 2015 ($\times 10^{-6}$)	AVERAGE ANNUAL RATE IN THE LAST 5 YEARS ($\times 10^{-6}$)
					COARSE	FINE		
Santa Luzia	Arch	1942	76	ASR	Quartzite	Quartz	2200	20
Alto Ceira (old)	Arch	1949	36	ASR	Quartzite	Quartz	4600	120
Penide	Gravity	1949	18	ASR (?)	Granite	Quartz	150	10
Pracana	Buttress	1951	60	ISR - ASR	Quartzite/granite	Quartz	2000	10
Covão do Meio	Arch	1953	28	ASR	Granite	?	500	25
Cabril	Arch	1954	132	ISR – ASR	Granite	?	100	5
Caniçada	Arch	1955	76	ASR	Granite	?	100	5
Picote	Arch	1958	100	ASR	Granite	Granite	50	< 5
Miranda	Buttress	1961	80	ASR	Granite	Granite	150	5
Alto Rabagão	Arch	1964	94	ASR	Granite	Granite	100	5
Bemposta	Arch-gravity	1964	87	ASR	Granite	?	200	10
Caia	Buttress	1967	52	ISR – ASR	Granite	Quartz	?	5
Fratel	Gate	1973	43	ISR – ASR	Granite	Quartz	200	10
Penha Garcia	Gravity	1980	25	ASR (?)	Quartzite/granite	Quartz	100	< 5
Aguieira	Multiple arch	1981	89	ASR	Granite	Quartz	?	?
Raiva	Gravity	1981	36	ASR (?)	Granite	Quartz	120	5
Coimbra	Gate	1981	40	ASR (?)	?	Quartz	?	?
Monte Novo	Gravity	1982	30	ASR	Granite	?	100	10
Fagilde	Gravity	1984	27	ISR	Limestone	Quartz	2200	120

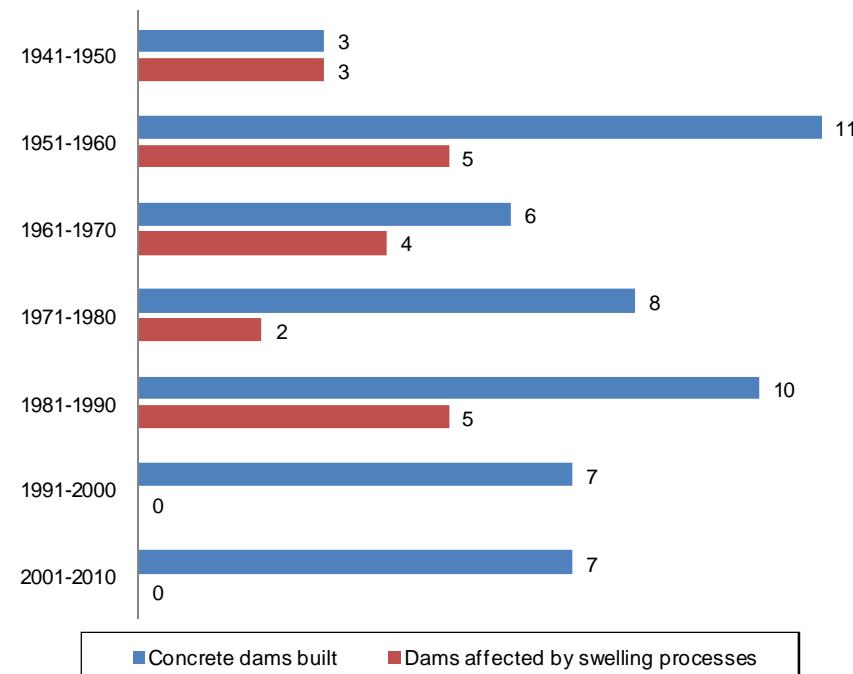


Portuguese AAR affected dams

Portuguese concrete dams monitored and affected by AAR, organized by structural types



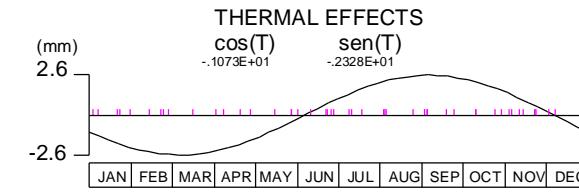
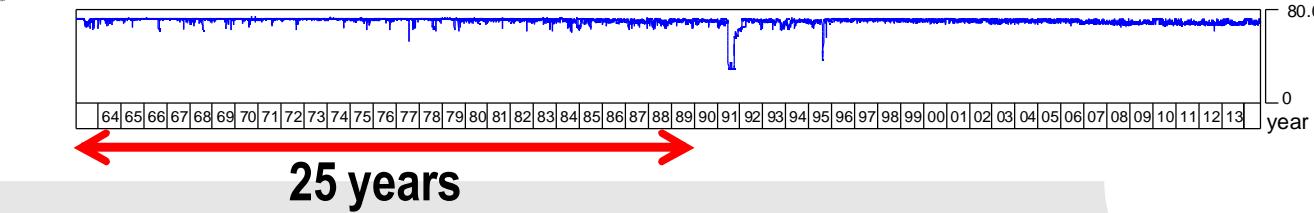
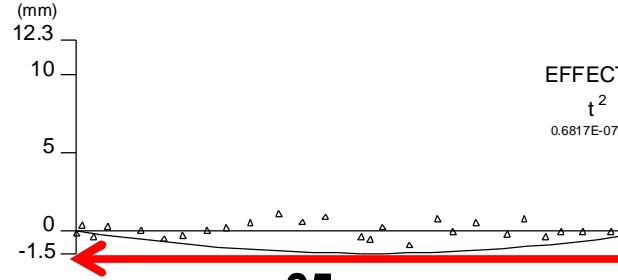
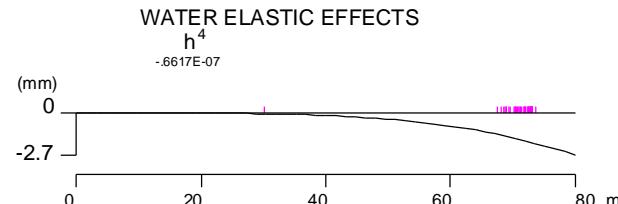
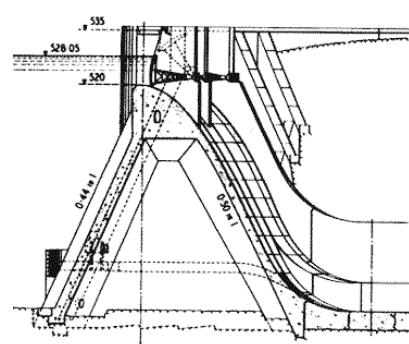
Portuguese concrete dams monitored and affected by AAR, organized in function of the construction decade



No signs of AAR on dams built in the last 20 years

Miranda dam

Buttress dam, 80 m high, built in 1961



MIRANDA DAM
LEVELLINGS
Bock 0
Crest
Vertical displacement

Quantitative interpretation

Independent coefficient
4.2139

$R^2 = 0.98581$

d.p. = 0.53937

△ Residue

□ Neglected observations

— Interpretation



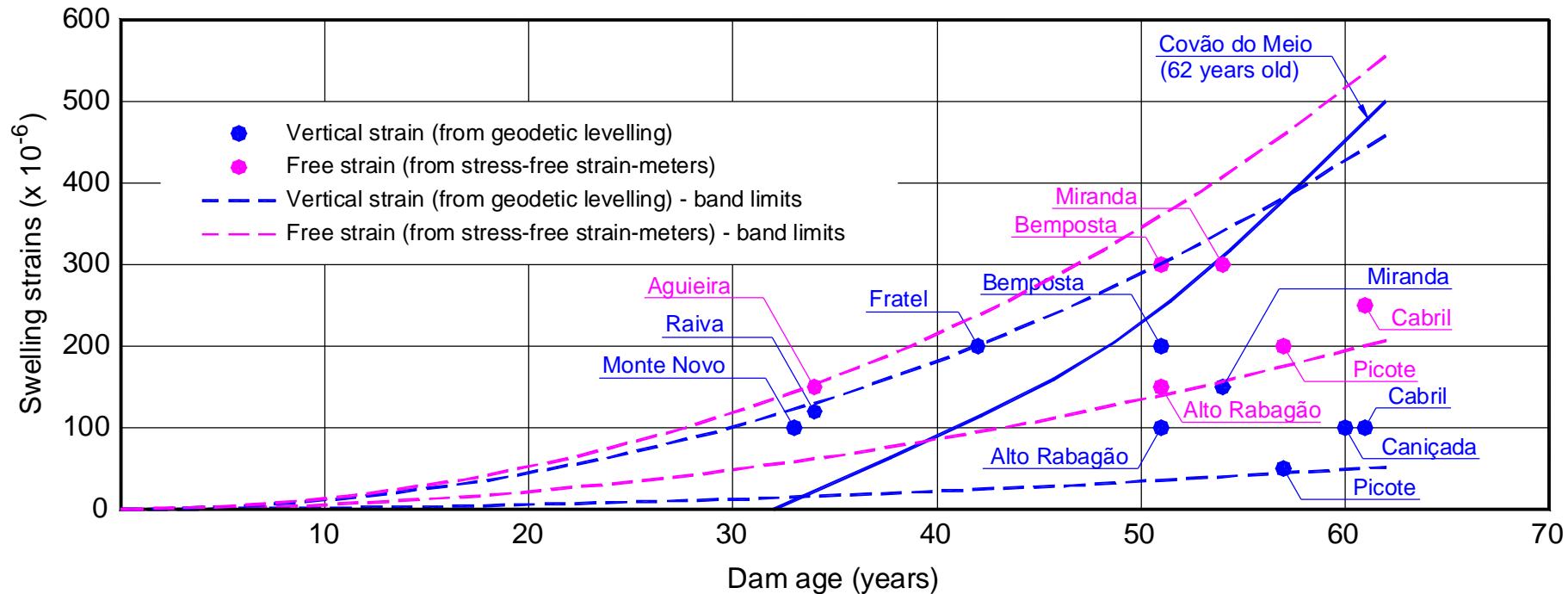
LABORATÓRIO NACIONAL
DE ENGENHARIA CIVIL

Comité Français des Barrages et Reservoirs – Symposium 2017

LNEC | 10

Portuguese AAR affected dams

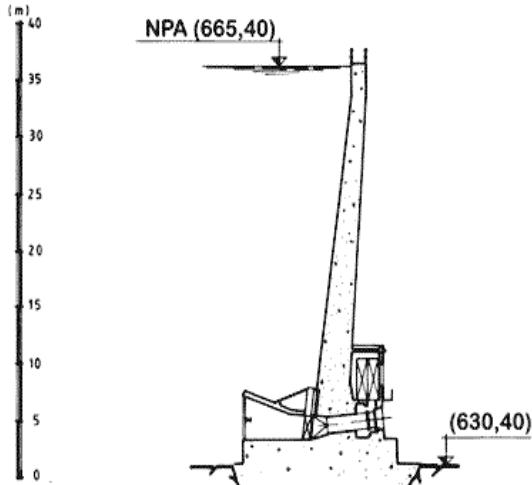
Average values of the monitored free strains by the stress-free Carlson strain-meters and strains obtained from geodetic levelling, in function of the dam age



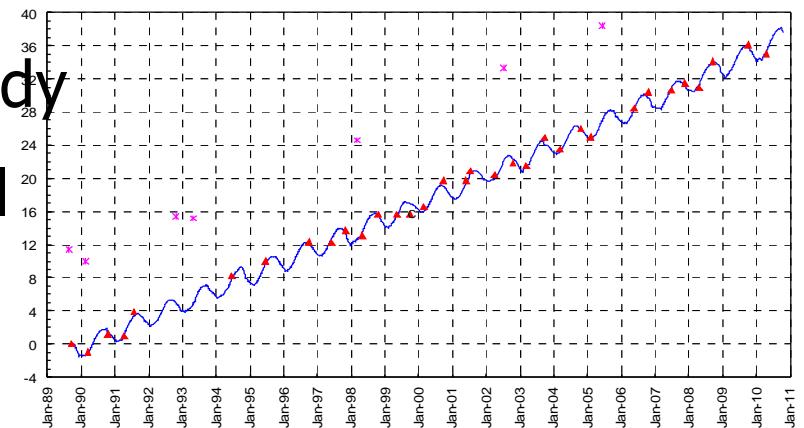
The free strains measured by the stress-free strain-meters are about twice the vertical strains obtained from the geodetic levelling results

Alto Ceira dam

Thin arch dam, 34 m high, built in 1949



- Progressive cracking of dam's body
- Progressive displacements, radial upstream and vertical upwards



Alto Ceira II dam - 2014



LABORATÓRIO NACIONAL
DE ENGENHARIA CIVIL

Comité Français des Barrages et Reservoirs – Symposium 2017

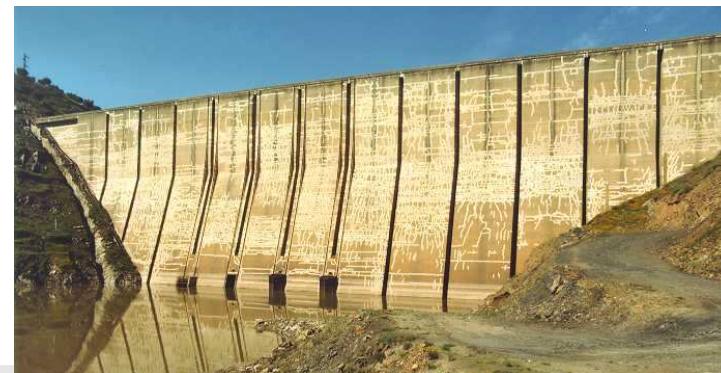
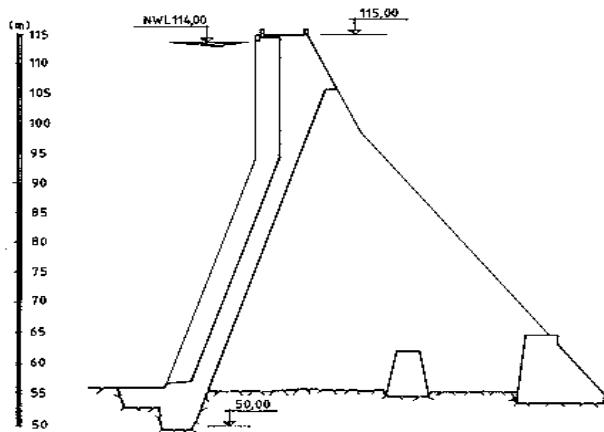
LNEC | 13

Alto Ceira II dam - 2014

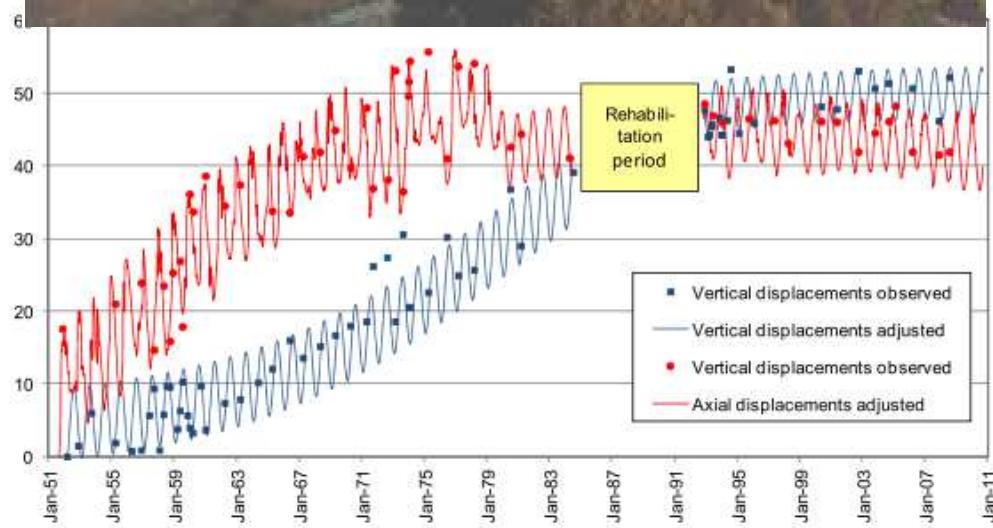
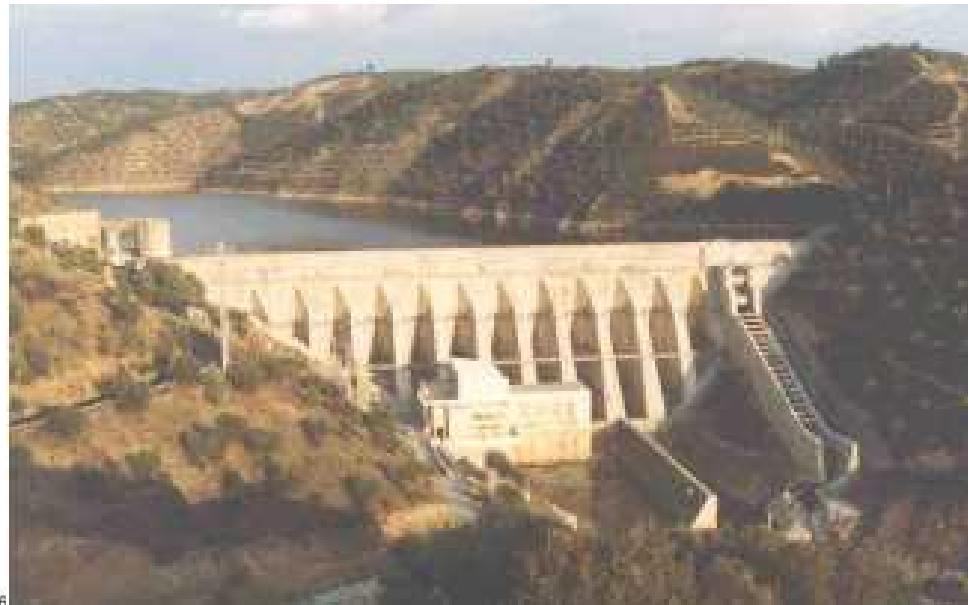


Pracana dam

Buttress dam, 60 m high, built in 1951



Pracana dam *after reahilitation*



New concrete structures – Preventive measures

- ❑ Presently, as European standards only EN 206 and EN 12620 deal with ASR, but they just state that actions shall be taken to prevent ASR using procedures of established suitability.
- ❑ DEF prevention is not covered by any standard. Although, some general guidance is given in EN 13670, EN 13230-1, and fib Model Code for Concrete Structures.
- ❑ Due to the complexity and multiplicity of factors involved in ASR and DEF, no such established procedures exist and each country has to rely on national regulations.



New concrete structures – Preventive measures

■ Therefore, Specification LNEC E 461 was published in 2007. Due to developments occurred since then, a new version will be published soon on ASR and DEF prevention.

■ The main differences reside in the methodology followed to identify an aggregate alkali-reactivity and in the assessment of the level of precaution and choice of appropriate precautionary measures.



ESPECIFICAÇÃO LNEC

Documentação normativa

BETÔES

Metodologias para Prevenir Reacções Expansivas Internas

Esta Especificação anula e substitui a Especificação LNEC E 461-2004.

BÉTON

Méthodologies pour prévenir des réactions de gonflement interne

E 461 - 2007

CJ/5FB

q3/f2 | (Av.)

CDU: 691.327.620.192.53 (989.74)

ISSN: 0870-8592

NOVEMBRO DE 2007

CONCRETE

Methodologies for avoiding internal expansive reactions

SCOPE

This LNEC Specification identifies the rocks and minerals that can be potentially reactive with the alkalis, defines the methodologies for evaluating the reactivity of the aggregates and establishes the measures in order to prevent the expansive reactions due to alkali-silica and to delay ettringite formation.

ÍNDICE

PREÂMBULO	1	4.2 Níveis de prevenção.....	5
1 OBJETO E CAMPO DE APLICAÇÃO	2	4.3 Medidas preventivas.....	5
2 REFERÊNCIAS NORMATIVAS	2	4.3.1 Controlo da temperatura máxima do betão	5
3 REACÇÕES ÁLCALIS-SÍLICA	2	4.3.2 Controlo dos teores de álcalis do betão	5
3.1 Rochas com minerais potencialmente reactivos e rochas fornecedoras de álcalis	2	4.3.3 Controlo da humidade	5
3.2 Classificação da reactividade dos agregados	2	4.3.4 Controlo do teor de hidroxido de cálcio	5
3.2.1 Avaliação da reactividade	2	4.4 Avaliação da susceptibilidade de composições do betão à reacção sulfática interna	5
3.2.2 Declaração dos resultados	3	5 BIBLIOGRAFIA	6
3.3 Medidas para evitar a ocorrência de reacções álcalis-sílica	3		
3.3.1 Generalidades	3		
3.3.2 Níveis de prevenção	3		
3.3.3 Medidas preventivas	3		
3.3.3.1 Controlo da alcalinidade da solução dos poros do betão	4		
3.3.3.2 Controlo do teor de sílica reactiva	4		
3.3.3.3 Controlo da humidade	4		
3.3.3.4 Modificação das propriedades expansivas do gel formado na reacção álcalis-sílica	4		
3.4 Avaliação da susceptibilidade de misturas de agregados ou de composições de betão à reacção álcalis-sílica	4		
4 REACÇÕES SULFÁTICAS INTERNAS	4		
4.1 Generalidades	4		



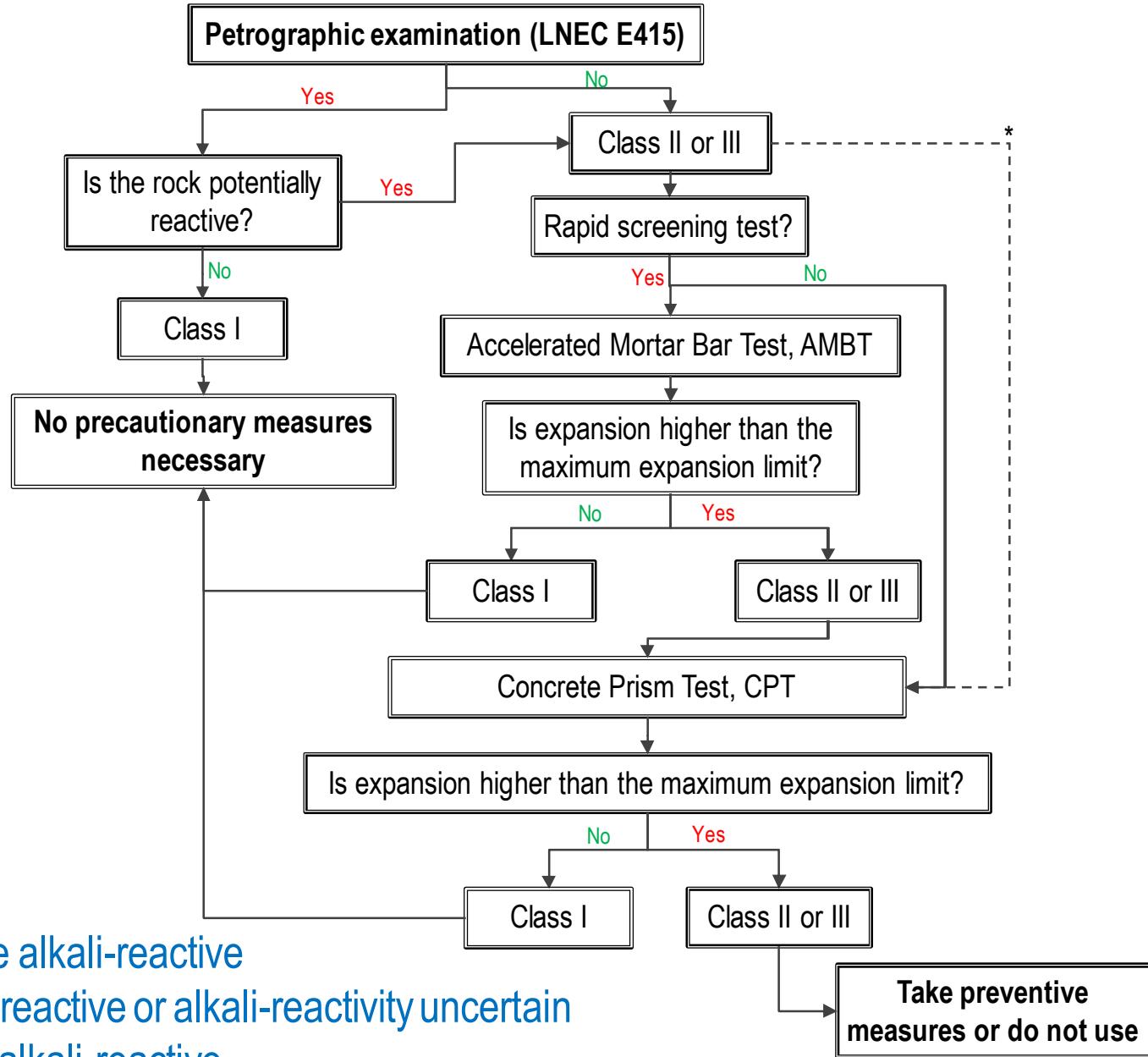
LNEC
Av Brasil, 101, 1700-066 LISBOA, PORTUGAL
Tel (+351) 21 844 30 11
lneclisboa.pt www.lneclisboa.pt



LABORATÓRIO NACIONAL
DE ENGENHARIA CIVIL

Comité Français des Barrages et Reservoirs – Symposium 2017

LNEC | 18



Classes:

I - very unlikely to be alkali-reactive

II - potentially alkali-reactive or alkali-reactivity uncertain

III - very likely to be alkali-reactive

AGGREGATES TESTING

Petrographic examination

- LNEC Specification E 415 (RILEM AAR-1).

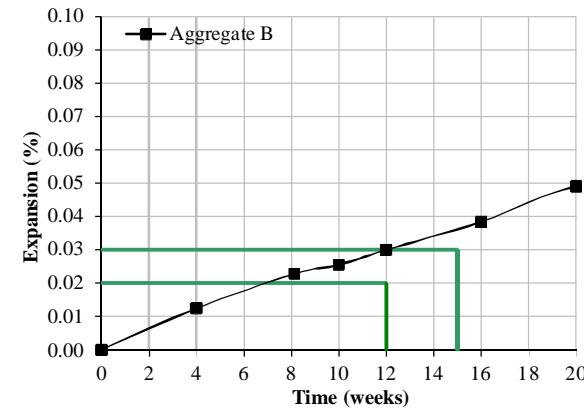
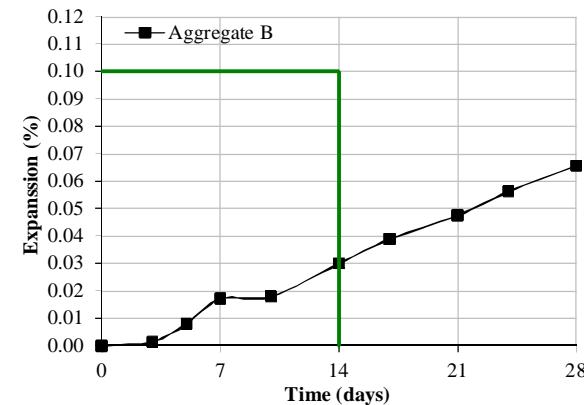
Accelerated Mortar Bar Test, AMBT

- RILEM AAR-2 & ASTM C 1260
- Inadequate for slowly reactive aggregates.

Concrete Prism Test, CPT

- RILEM AAR-3.1 & RILEM AAR-4.1
- Lab/Field performance & expansion limits under discussion
- Test duration must be extended for slowly reactive aggregates or Long-service structures (e.g. concrete dams) .

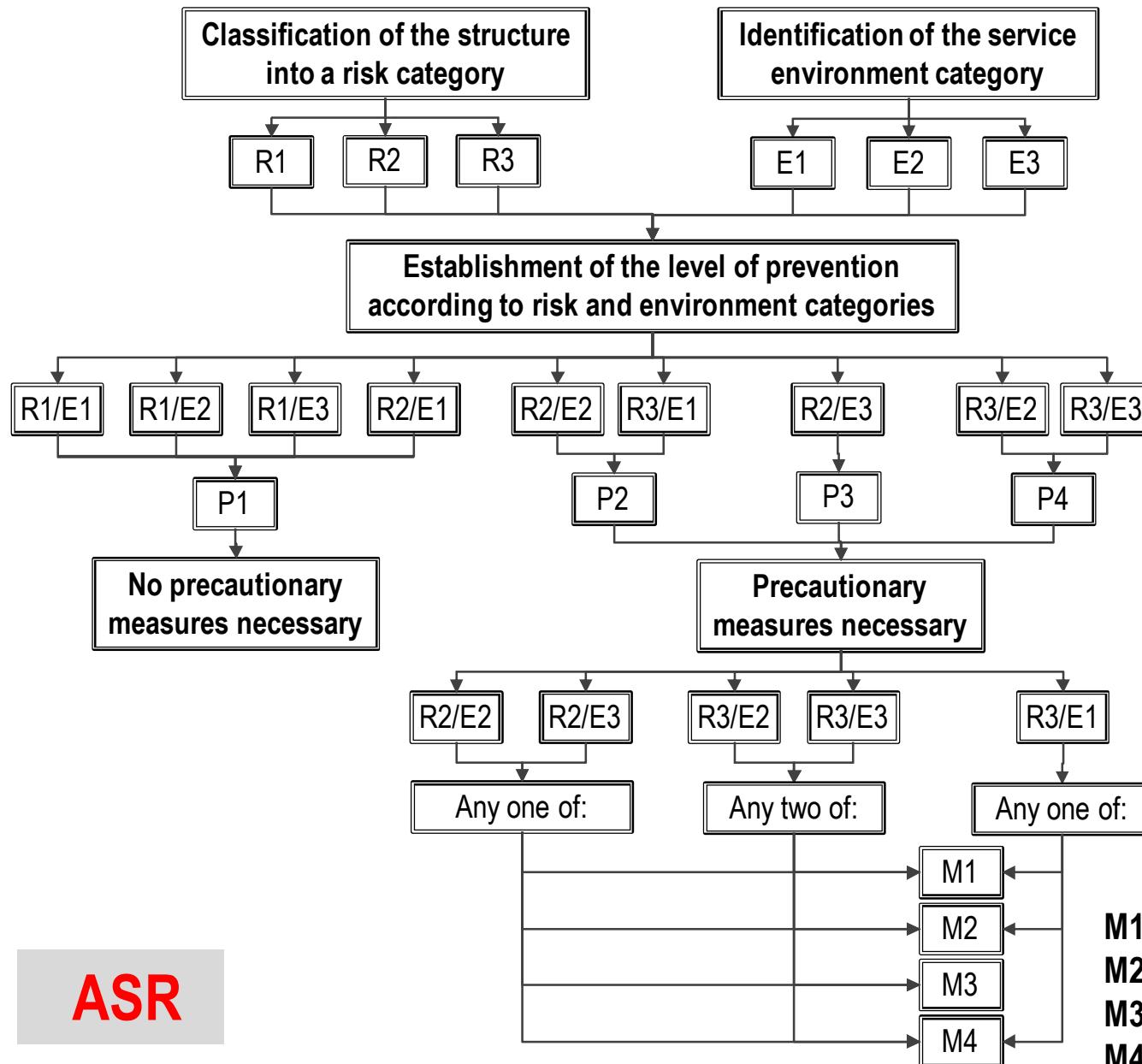
ASR



Example of a slowly reactive aggregate passing the AMBT and failing the CPT at 60 °C.

Aggregate has been found to be deleterious reactive in a structure.





ASR

Risk categories:

- R1 - low risk
- R2 - normal risk
- R3 - high risk

Environment categories:

- E1 - essentially protected from extraneous moisture
- E2 - exposed to extraneous moisture
- E3 - exposed to extraneous moisture and aggravating factors.

Precaution level:

- P1 - no special precautions
- P2 - normal precaution level
- P3 - special precaution level
- P4 - extraordinary precaution level

Preventive measures:

- M1- restricting pore solution alkalinity
- M2- using non-reactive aggregate
- M3- reducing moisture ingress
- M4- modifying ASR gel properties



PREVENTIVE MEASURES

M1 – restrict pore solution alkalinity

- Limiting concrete alkali content, considering all constituents
 - Class II $\leq 3.0 \text{ kg/m}^3 \text{ Na}_2\text{O}_{\text{eq}}$.
 - Class III $\leq 2.5 \text{ kg/m}^3 \text{ Na}_2\text{O}_{\text{eq.}}$.
- Using type II additions, e.g.
 - Low-lime fly ash (< 8 % CaO and < 5 % $\text{Na}_2\text{O}_{\text{eq.}}$) > 30 %
 - Ground granulated blast furnace slag (<1.5 % $\text{Na}_2\text{O}_{\text{eq.}}$) > 50 %

M2 – use non-reactive aggregate

- Using “non-reactive aggregate” combinations;
- Not always feasible, e.g. dams.

ASR



LABORATÓRIO NACIONAL
DE ENGENHARIA CIVIL

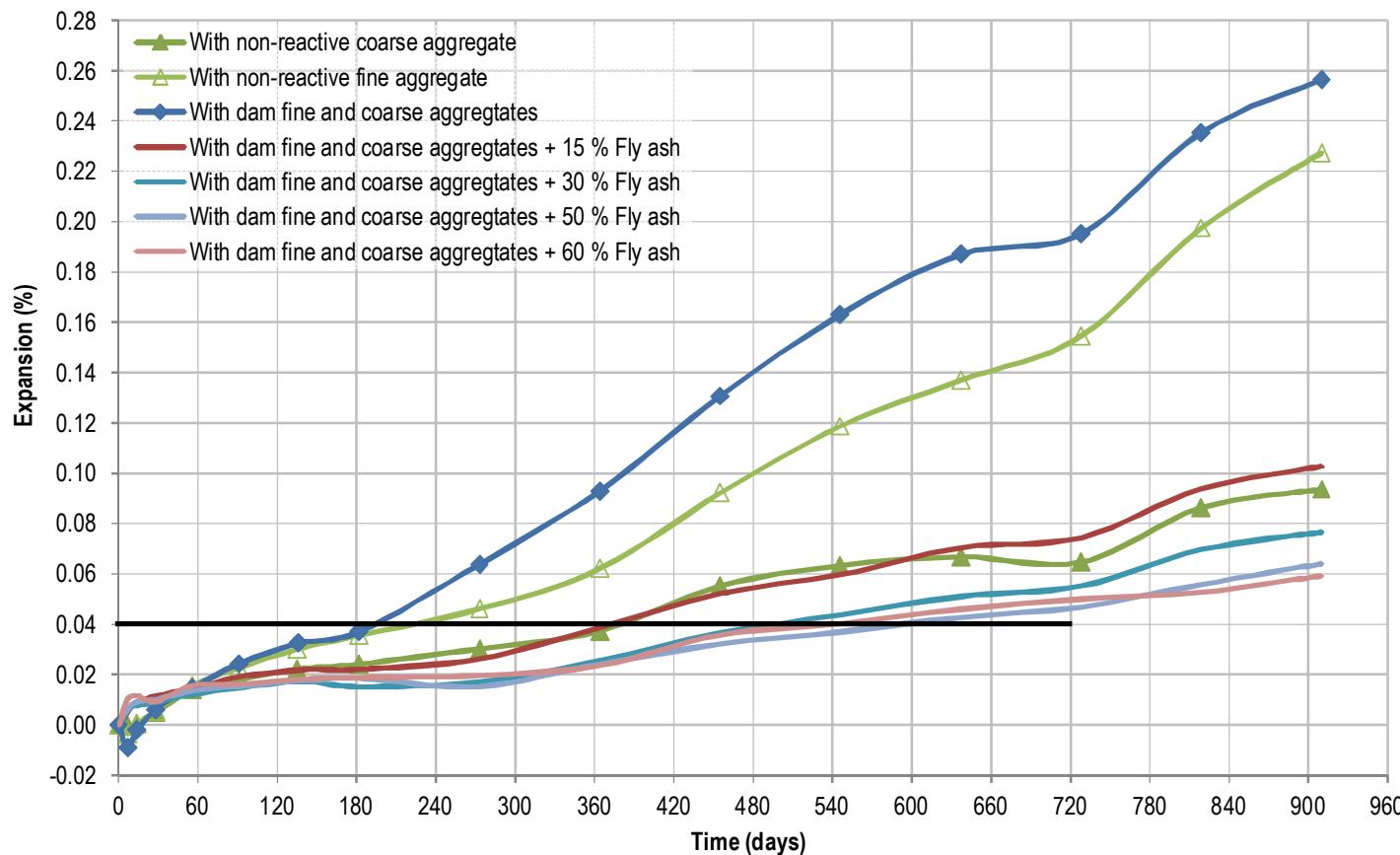
Comité Français des Barrages et Reservoirs – Symposium 2017

LNEC | 22

TYPE II ADDITIONS TESTING

Concrete Prism Test, CPT

- ASTM C 1293
- Expansion shall be less than 0.04 % at two years.



ASR



LABORATÓRIO NACIONAL
DE ENGENHARIA CIVIL

Comité Français des Barrages et Reservoirs – Symposium 2017

LNEC | 23

PREVENTIVE MEASURES

M3 – reduce moisture ingress

- Reducing access of moisture and maintaining concrete in a sufficiently dry state;
- Not always feasible, e.g. dams.

M4 – modify ASR gel properties such that it is non-expansive

- Using chemical compounds like lithium salts;
- Expensive and doubts on long-term effectiveness.

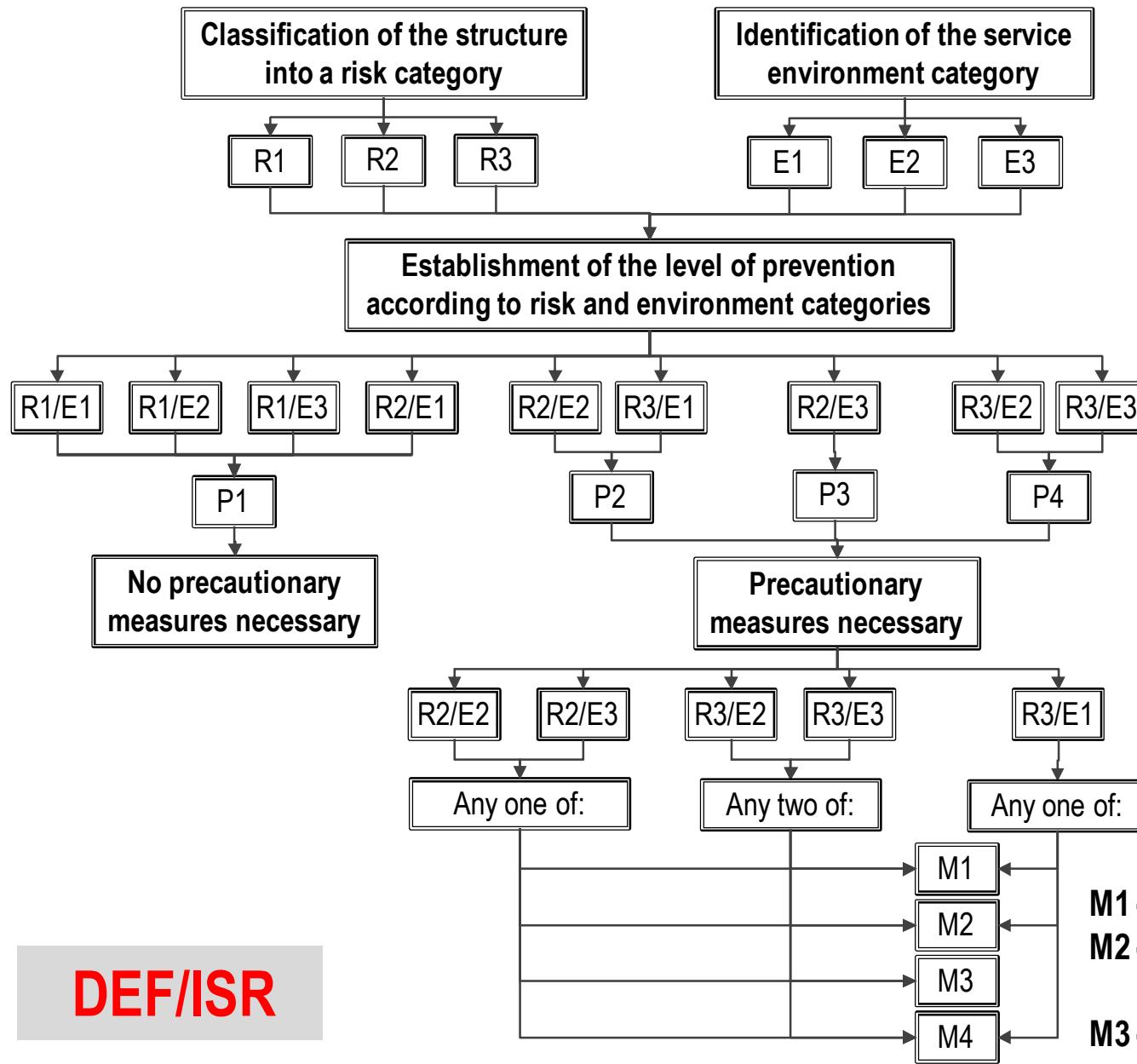
ASR



LABORATÓRIO NACIONAL
DE ENGENHARIA CIVIL

Comité Français des Barrages et Reservoirs – Symposium 2017

LNEC | 24



Risk categories:

- R1 - low risk
- R2 - normal risk
- R3 - high risk

Environment categories:

- E1 - essentially protected from extraneous moisture
- E2 - exposed to extraneous moisture
- E3 - exposed to extraneous moisture and aggravating factors.

Precaution level:

- P1 - no special precautions
- P2 - normal precaution level
- P3 - special precaution level
- P4 - extraordinary precaution level

Preventive measures:

- M1 - restricting concrete temperature
- M2 - controlling concrete alkali content and cement C3A and SO₃ contents
- M3 - reducing moisture ingress
- M4 - controlling Ca(OH)₂ content

DEF/ISR



LABORATÓRIO NACIONAL
DE ENGENHARIA CIVIL

PREVENTIVE MEASURES

M1 – restrict concrete temperature

- Limiting temperature in concrete at early ages, < 65 °C.
- Changing concrete composition.
- Cooling concrete and using metal formwork.

M2 – restrict cement and concrete composition

- Limiting binder SO₃ content
 - SO₃ ≤ 3.5 % if C₃A ≤ 3.0 %
 - SO₃ ≤ 2.5 % if 3.0 % ≤ C₃A ≤ 5.0 %
- Controlling concrete alkali content, considering all constituents.

M3 – reduce moisture ingress and extended contact with water

- Designing the structure to avoid water accumulation and stagnation zones;
- Water proofing.

M4 – control Ca(OH)₂ content

- Using type II additions.

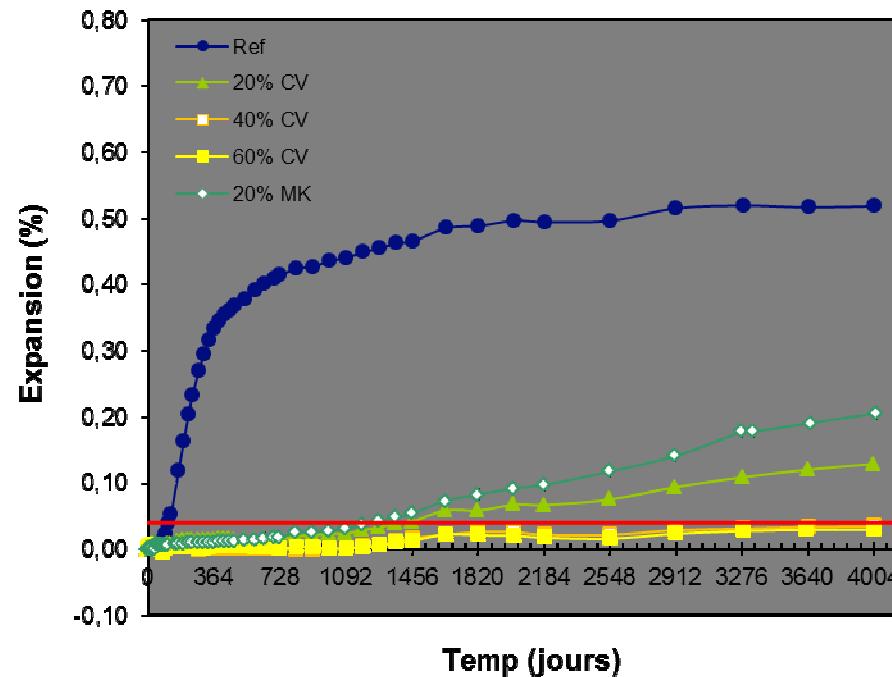
DEF/ISR



CONCRETE TESTING

LPC method n°. 66

- Assess type II additions effectiveness;
- Assess concrete composition susceptibility to DEF/ISR.



DEF/ISR



LABORATÓRIO NACIONAL
DE ENGENHARIA CIVIL

Comité Français des Barrages et Reservoirs – Symposium 2017

LNEC | 27



*Merci de votre
attention!*



LABORATÓRIO NACIONAL
DE ENGENHARIA CIVIL